

BOARD RESOLUTION REGARDING LEGAL COMPLIANCE PROGRAM
The Arc of Monroe County, NYSARC, Inc.

WHEREAS, the policy of The Arc of Monroe County, Chapter of NYSARC, Inc., has been always to conduct its business in compliance with applicable federal, state and local laws and regulations, and to adhere to the highest ethical standards; and

WHEREAS, the Board of Directors recognizes that even unwitting violations of laws and regulations by The Arc of Monroe County, Chapter of NYSARC, Inc., employees and agents can subject the organization to civil and criminal penalties, tarnish its reputation for professionalism, and lead to unfavorable publicity; and

WHEREAS, the Board of Directors recognizes that the federal agencies responsible for enforcement of Medicare and Medicaid laws and regulations applicable to healthcare providers recently have encouraged the development and implementation of formal legal compliance programs by healthcare providers; and

WHEREAS, the Board of Directors believes that the development and implementation of a formal legal compliance program is consistent with the organization's efforts to improve quality and performance, and further reflects the organization's long-standing commitment to conduct its business in compliance with applicable and constitutional laws and the highest ethical standards; and

WHEREAS, the Board of Directors wishes to ensure that the legal compliance program is effective in identifying and correcting practices and policies that are not in compliance with applicable laws and regulations, including, where necessary, by providing for disciplinary action against those employees and agents that fail to comply with such laws and regulations; and

WHEREAS, the Board of Directors further wishes the legal compliance program to formalize, and, as necessary, develop specific standards of conduct and policies for educating and training employees and agents with respect to those standards, review and possibly enhance internal controls and monitoring systems, and foster effective communication and responsiveness on compliance issues;

NOW, THEREFORE, IT IS HEREBY RESOLVED that the management of The Arc of Monroe County, a Chapter of NYSARC, Inc. is directed to develop and implement a formal legal compliance program and to provide periodic progress reports to the Board of Directors on the development, implementation and ongoing operation of this program.

The above resolution was adopted by The Arc's Board of Directors on the 11th day of July 2001.

Motion Made by: **Kenneth Rohr**
Seconded by: **Robert Volpe**

Vote: 23 votes in Favor
0 votes against
0 abstentions